IAP15 Rec'd PCT/PTO 03 APR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kun'ichi MIYAZAWA et al.

Mail Stop: PCT

Serial No. NEW

Attorney Docket No. 2006_0502A

Filed April 3, 2006

FULLERENE SHELL TUBE AND PROCESS FOR PRODUCING THE SAME [Corresponding to PCT/JP2004/014878 Filed October 1, 2004]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

	0	each reference previously cited in the international application PCT/; and/or				
		, and or				
	0	each reference previously cited in prior parent application Serial No.				
la.	[X] This Information Disclosure Statement is submitted:					
	within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or					

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. [] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) [] the certification of paragraph 2 below is provided, or
- (2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
- 1c. [] This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 2. It is hereby certified
 - a. [] that each item of information contained in this Information Disclosure

 Statement was first cited in any communication from a foreign patent office in a

 counterpart foreign application not more than three months prior to the filing of
 the Statement, or
 - b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

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3. []	Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4.	For each non-English language reference listed on the attached form PTO-1449, reference is made to:

a. [] a full or partial English language translation submitted herewith,

b. [X] a foreign patent office (International) search report (in the English language) submitted herewith (Attachment E),

c. [] the concise explanation contained in the specification of the present application at page,

d. [] the concise explanation set forth in the attached English language abstract,

e. [] the concise explanation set forth below or on a separate sheet attached to the reference:

5. [] A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Kun'ichi MIYAZAWA et al.

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

By

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MJ/kes Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 April 3, 2006

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Sheet 1 of 1 INFORMATION DISCLOSURE STATEMENT													
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S)				ATTY DOCKET NO. SERIAL NEW		SERIAL NO.	NO. 10/574506						
				APPLICANT Kun'ichi MIYAZAWA et al.									
(Use several sheets if necessary) Date Submitted to PTO: April 3, 2006				FILING DATE April 3, 2006			GROUP						
		*	U,:	S. PATENT DOCUMENTS									
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CL	ASS	SUBCLASS FILING DATE IF APPROPRIATE						
	AA	2002/0192143 A1	12/2002	Miyazawa et al.									
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	OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)												
	AO	Fujino, Masahino et al., "In situ Observation of the Behavior of C ₆₀ Whiskers under Heating by TEM, <i>Fullerene Nanotube Symposium</i> , Vol. 25, page 42 (23 July, 2003).											
	AP	Miyazawa, Kun'ichi et al., "Fabrication and Properties of Fullerene Nanowhiskers and Nanofibers", <i>Transactions of the Materials Research Society of Japan</i> , Vol. 25, No. 5, pp. 1965 to 1968 (2004).											
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EXAMINER				DATE CONSIDER	RED								